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FORM PTO-1390 (REV 11-98)	U.S. DEPARTME	INT OF COMMERCE PATE	MAR REMINISTRA	ATORICY'S DOCKET NUMBER			
TRANSMITTA	L LET	O THE UNI	TED STATES	-97-009N			
DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO, (If known, see 17 CFR.).5							
	NG A FILING			09/403002			
INTERNATIONAL APPLIC PCT/IB97/00	893	NTERNATIONAL 17 July	1997	PRIORITY DATE CLAIMED 17 July 1997			
TITLE OF INVENTION Transmiss		d Appara ATM Net		tipoint-To-Point			
APPLICANT(S) FOR DO/E	o/us Illiadis	. G. Gor	on				
				ollowing items and other information:			
1XXX This is a FIRST							
2. This is a SECON	D or SUBSEQUENT	submission of ite	ns concerning a filing un	ler 35 U.S.C. 371.			
This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.							
5XXX A copy of the I	nternational Applica	ation as filed (35	U.S.C. 371(c)(2))				
a. XX is tran	smitted herewith (re	equired only if n	ot transmitted by the In	ternational Bureau).			
	en transmitted by the			· · · · · Office (TO (US)			
				eceiving Office (RO/US).			
		• •	English (35 U.S.C. 371)	icle 19 (35 U.S.C. 371(c)(3))			
			not transmitted by the l				
_	een transmitted by						
				endments has NOT expired.			
_	ot been made and		· ·	_			
			r PCT Article 19 (35 U	I.S.C. 371(c)(3)).			
_	laration of the inve						
10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).							
Items 11. to 16. below	concern document	(s) or information	on included:				
_	Disclosure Statem		*				
12. An assignment	document for reco	rding. A separate	cover sheet in compli	ance with 37 CFR 3.28 and 3.31 is included.			
13. A FIRST prelin	ninary amendment	•					
A SECOND of	SUBSEQUENT p	reliminary ameno	lment.				
14. A substitute sp							
15. A change of power of attorney and/or address letter.							
16. X Other items or	information:						
	y of annexe nination Re		International	Preliminary			

page 1 of 2

U.S. APPLICATION NO. ("たて"零竹の21°	SZ9-97-009N						
17. The fo	ollowing fees are submitted:	CALCULATION						
BASIC NATIO	NAL FEE (37 CFR 1.492 (a							
Neither inter	mational preliminary examina							
and Internat	ional search fee (37 CFR 1.44 ional Search Report not prep							
USPTO but		prepared by the EPO or JPO.						
International international	preliminary examination fee l search fee (37 CFR 1.445(a	(37 CFR 1.482) not paid to US(2)) paid to USPTO	PTO but \$760.00					
International	l preliminary examination fee	e paid to USPTO (37 CFR 1.48	2)					
but all claim	s did not satisfy provisions o	\$670.00	1					
and all claim	preliminary examination fee	e paid to USPTO (37 CFR 1.48 F Article 33(1)-(4)	2)					
		PRIATE BASIC FEE AN		\$ 840				
Surcharge of \$13	0.00 for furnishing the oath	or declaration later than 2	30	s				
months from the	e earliest claimed priority dat	e (37 CFR 1.492(e)). NUMBER EXTRA	RATE	3	<u> </u>			
Total claims	- 20 =	THE STATE OF THE S	X \$18.00	s 0	7			
Independent claims			X \$78.00	s O				
MULTIPLE DEF	PENDENT CLAIM(S) (if applic	able)	+ \$260.00	260				
		OF ABOVE CALCULAT		s 1100				
Reduction of 1/2 must also by file	2 for filing by small entity, if ed (Note 37 CFR 1.9, 1.27, 1.	applicable. A Small Entity Stat 28).	ement	\$				
		SUBT	OTAL =	s 1100				
Processing fee of months from the	f \$130.00 for furnishing the carliest claimed priority date	S						
		s 1100						
Fee for recording accompanied by	g the enclosed assignment (3 an appropriate cover sheet (3	s						
		s 1100						
				Amount to be:	\$			
				<u>refunded</u> charged	ls 1100			
a. A chec	k in the amount of \$	to cover the abov	e fees is enclosed		1100			
			- 1005 15 011010500	-				
b. A Please	charge my Deposit Account licate copy of this sheet is enc	No. $09 - 0464$ in the solosed.	amount of \$1	100 to co	ver the above fees.			
		ized to charge any additional fo o 4 A duplicat	es which may be	e required, or credit	any			
5 V PC	, mont to Deposit Mecount	. A supriout	copy of this sin	cet is eliciosed.				
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.								
	y and and Branco	to restore the application to	henging 20102.					
SENDALL CORRE			-lo	sulp 4	· Cockbum			
	n G. Cockburn p.; 972/656	SIGNATU	RE:					
PO Box		scelyn G.	Cockburr					
RTP, NC								
27				,069				
			REGISTRA	TIONNUMBER				
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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of

14 January 2000

Droz et al.

IBM Corporation - 972/B656

Serial No.: TBD

P.O. Box 12195

Research

Filed: Herewith

Research Triangle Park, North Carolina 27709

For: Method and Apparatus for

Group Art Unit:

Multipoint-to-Point

Examiner:

Transmission in an ATM

Network

COVER LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE CONCERNING A FILING UNDER 37 C.F.R. § 371

Assistant Commissioner for Patents Washington, DC 20231

Sir:

المراسلة المراسلة

This application is submitted in conjunction with a request to expedite entry of the national phase of the PCT application PCT/IB97/00893 having an International Filing Date of 17 July 1997. Applicants are submitting, along with this application, informal copies of the Declaration, Power of Attorney and Assignment as the fully executed originals of these documents were not received from the inventors at the time of this filing. The fully executed originals of these documents will be submitted to the US Designated/Elected Office, along with the appropriate surcharge for submitting these documents later than 30 months from the earliest claimed priority date, when the documents are received from the inventors. Accordingly, Applicants respectfully request examination of this application and request the Examiner immediately begin national stage prosecution, thereby expediting the examination process for this application.

Serial No.: TBD -1- Docket No: SZ9-97-009N

Respectfully submitted,

Droz et al.

Represented By:

Joscelyn G. Cockburn
Attorney of Record
Registration No. 27,069

JGC:sjt

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Docket: SZ9-97-009N Telephone: (919) 543-9036 Fax: (919) 543-3634

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: P. Droz et al.

Serial No.: PCT/IB97/00893

Group Art Unit:

Int'l Filing Date: 17 July 1997

Examiner:

For:

Method and Apparatus for Multipoint-to-Point transmission in an ATM Network

Commissioner of Patents and Trademarks

Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" lat	oel number:	_EJ92240	04806US_		
Date of Deposit:	_January 14	4 2000 *_		·	

I hereby certify that the following attached paper or fee

- Transmittal Letter re: Filing under 35 § U.S.C. 371 (in duplicate) 1.
- Copy of International Patent Application and Drawings as originally filed (29 pages) 2.
- Copy of annexes to the International Preliminary Examination Report (2 pages) 3.
- Informal copy of Combined Declaration for Patent Application and Power of Attorney (2 4. pages)
- 5. Informal copy of Assignment
- Cover Letter to the United States Designated/Elected Office Concerning a Filing Under 37 6. C.F.R. § 371 (2 pages)
- 7. Business reply postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to:

> **BOX PCT Assistant Commissioner for Patents** Washington, D.C. 20231

> > Stephen J. Tytran (Name of person mailing paper or fee)

*We request the Date of Deposit as the Filing Date.